

Executive Summary/Highlights

The Gramm-Leach-Bliley Act of 1999 (GLBA) was enacted to modernize the financial services industry by removing regulations that prevented the merger of banks, stock brokerage companies, and insurance companies. In the spirit of modernization, the federal banking agencies and the CFTC, FTC, NCUA, and SEC issued Title V, entitled "Disclosure of Nonpublic Personal Information (NPI)" to institute privacy policies that would protect customers and consumers NPI. Additionally, within the GLB Act, the Federal Trade Commission (FTC) enacted the Safeguards Rule, which requires financial institutions under FTC jurisdiction to have proper controls in place to keep customer information secure.

Title V - Privacy Rule:

The Privacy Rule requires financial institutions to notify both customers and consumers of the policies that are in place to protect their confidentiality, as well as, how the financial institution secures that information.

Safeguards Rule:

The Safeguards Rule requires organizations to assess and address the risks that threaten the safety of customer information in all areas of operation. Three areas that are particularly important to information security are Employee Management and Training; Information Systems; and Detecting and Managing System Failures.



Nonpublic Personal Information - Personally identifiable financial information provided by a consumer to a financial institution; resulting from any transaction with the consumer or any service performed for the consumer; or otherwise obtained by the financial institution.”

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ABOUT CARBON BLACK

Carbon Black is the leading provider of next-generation endpoint security. With more than 13 million endpoints under management, Carbon Black has more than 3,000 customers, including 30 of the Fortune 100. These customers use Carbon Black to replace legacy antivirus, lock down critical systems, hunt threats, and protect their endpoints from the most advanced cyberattacks, including non-malware attacks. For more information, please visit www.carbonblack.com or follow us on Twitter at [@CarbonBlack_Inc](https://twitter.com/CarbonBlack_Inc).